



Be Respectful Be Ready Be Resilient Be Responsible Be Resourceful Be Reflective Be Remarkable

NAME OF POLICY: COMPLAINTS

DATE OF POLICY: January 2016

REVIEW DATES:

At Bocking Church Street Primary School all staff and governors are fully aware of their responsibilities regarding safeguarding and promoting the welfare of children.

This policy has been written taking all aspects of safeguarding into consideration.

Introduction:

Children of any age are more likely to be happy at school, and to achieve their best, if their parents take an active interest in their education and welfare during the time their child is in school.

We value the involvement of parents and carers in the life of our school and offer many opportunities for you to take part in your child's education here.

It is natural that parents may, from time to time, be concerned about some aspect of their child's education or welfare at school. This may, for example, be due to a misunderstanding about the school's approach to aspects of the curriculum, or about the way we deal with behavioural problems.

We therefore welcome enquiries from parents about these, and other, matters and are confident that, in the vast majority of cases, we can reassure you by explaining our policies and practices, and how they affect your child.

With a commitment from all concerned to resolve any such concerns calmly and rationally, we can work with you to try to achieve the best for your child.

- 1. This policy statement sets out the school's approach to dealing with parental concerns and complaints.
- 2. We value good home/school relations and will, therefore, do everything we can to establish and maintain them. This includes seeking to resolve any concerns or complaints promptly, and to the best possible satisfaction of all concerned.





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- 3. We welcome feedback on what parents feel we do well, or not so well, as a school. We will consider carefully all feedback, whether positive or negative, and will review our policies and practices accordingly.
- 4. We will treat all concerns and complaints seriously and courteously and will advise parents and others of the school's procedures for dealing with their concerns. In return, we expect parents and other complainants to behave respectfully towards all members of the school community. In particular, any disagreement with the school should not be expressed inappropriately or in front of pupils.
- 5. All school staff, teaching and non-teaching and members of the Governing Body, will receive a copy of this policy statement and will be familiar with the school's procedures for dealing with parental concerns and complaints, to which they will have access as required.
- 6. The school's procedures will be reviewed regularly and updated as necessary.
- 7. Staff and governors will receive training in handling parental concerns and complaints as appropriate. This may be on an individual basis, as a group activity for all staff or for specific groups, such as the office staff or members of the Governing Body.
- 8. Whilst we will seek to resolve concerns and complaints to the satisfaction of all parties, it may not be possible to achieve this in every case. We will, therefore, use our option to close a complaint before all the stages of the school's procedures have been exhausted, if this appears to be appropriate.
- 9. The Government and the Local Authority (Essex County Council) advocate resolution of parental concerns and complaints at school level wherever possible, in the interests of maintaining good home/school relations.

Our procedure

Our procedures for dealing with general concerns

The majority of concerns from parents, carers and others are handled under the following general procedures.

The procedure is divided into three stages:

Stage 1 aims to resolve the concern through informal contact at the appropriate level in school (as described on pages 2 and 3 of this guidance).





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Stage 2 is the first formal stage at which written complaints are considered by the headteacher or the designated governor, who has special responsibility for dealing with complaints.

Stage 3 is the next stage once Stage 2 has been worked through. It involves a complaints review panel of governors.

Procedures for dealing with complaints about staff

These procedures **cannot** be invoked for complaints about the personal conduct of members of staff. These can **only** be dealt with by the headteacher. Concerns about the headteacher's personal conduct can **only** dealt with by the chair of governors.

As required by employment law, all complaints are dealt with under the school's internal confidential procedures, and, although the outcome of any investigation may not be disclosed in detail to the complainant, a letter stating that the matter has been investigated and any appropriate action taken would be sent.

A complaint about a member of the school's governing body can only be heard by a governor panel. Such complaints are dealt with under the school's internal procedures.

These types of complaint *cannot* be progressed to Stage 3 as the complaints review panel does not deal with (potential) staff disciplinary matters.

Stage 1 - Your initial contact with the school

- 1. Many concerns will be dealt with informally when you make them known to us. The first point of contact should be your child's class teacher.
- 2. We will see you, or contact you by telephone or in writing, as soon as possible after your concern is made known to us. All members of staff know how to refer, if necessary, to the appropriate person with responsibility for particular issues raised by you. He or she will make a clear note of the details and will check later to make sure that the matter has been followed up.
- 3. We will ensure that you are clear what action or monitoring of the situation, if any, has been agreed. We will confirm this in writing to you.





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- 4. We will ensure that we speak directly to all appropriate persons who may be able to assist us with our enquiries into your concern. We may contact you to clarify your concerns.
- 5. We will discuss with you (normally within ten working days) the progress of our enquiries. You will have the opportunity of asking for the matter to be considered further, once we have responded to your concern.
- 6. If you are still dissatisfied following this informal approach, your concern will become a formal complaint and we will deal with it at the next stage.

Date: January 2016 Review date:





Be Respectful Be Ready Be Resilient Be Responsible Be Resourceful Be Reflective Be Remarkable Stage 2 - Formal consideration of your complaint

This stage in our procedures deals with written complaints. It applies where you are not happy with the informal approach to dealing with your concern, as outlined under Stage 1 above.

- Normally, your written complaint should be addressed to the headteacher. If, however, your complaint concerns the headteacher personally, it should be sent to the school marked "For the attention of the Chair of Governors" [the Designated Governor"].
- 2. We will acknowledge your complaint in writing as soon as possible after receiving it. This will be within three working days.
- 3. We will enclose a copy of these procedures with the acknowledgement.
- 4. Normally we would expect to respond in full within ten working days but if this is not possible we will write to explain the reason for the delay and let you know when we hope to be able to provide a full response.
- 5. As part of our consideration of your complaint, we may invite you to a meeting to discuss the complaint and fill in any details required. If you wish, you can ask someone to accompany you to help you explain the reasons for your complaint.
- 6. The headteacher, or chair of governors [designated governor] may also be accompanied by a suitable person if they wish.
- 7. Following the meeting, the headteacher or chair of governors [designated governor] will, where necessary, talk to witnesses and take statements from others involved. If the complaint centres on a pupil, we will talk to the pupil concerned and, where appropriate, others present at the time of the incident in question.
- 8. We will normally talk to pupils with a parent or carer present, unless this would delay the investigation of a serious or urgent complaint, or where a pupil has specifically said that he or she would prefer the parent or carer not to be involved. In such circumstances, we will ensure that another member of staff, with whom the pupil feels comfortable, is present.
- 9. If the complaint is against a member of staff, it will be dealt with under the school's internal confidential procedures, as required by law.
- 10. The headteacher or chair of governors [designated governor] will keep written/typed, signed and dated records of all meetings and telephone conversations, and other related documentation.
- 11. Once we have established all the relevant facts, we will send you a written response to your complaint. This will give a full explanation of the headteacher's/ chair of governors' [designated governor's] decision and the reasons for it. If follow-up action is needed, we will indicate what we are proposing to do. We may invite you to a meeting to discuss the outcome as part of our commitment to building and maintaining good relations with you.



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12. The person investigating your complaint may decide that we have done all we can to resolve the complaint, in which case we may use our discretion to close the complaint at this point. Please see the next page for further information about this process.





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- Very occasionally, we may feel that it is appropriate, regretfully, to close an complaint where the complainant is still dissatisfied.
- We, will do all we can to help to resolve a complaint against the school but sometimes it is simply not possible to meet all of the complainant's wishes. Sometimes it is simply a case of "agreeing to disagree".
- If a complainant persists in making representations to the school to the headteacher, designated governor, chair of governors or anyone else –this can be extremely time-consuming and can detract from our responsibility to look after the interests of all the children in our care.
- For this reason, we are entitled to close correspondence (including personal approaches, as well as letters and telephone calls) on a complaint where we feel that we have taken all reasonable action to resolve the complaint.
- In exceptional circumstances, closure may occur before a complaint has reached Stage 3 of the procedures described in this document. This is because a complaints panel takes considerable time and effort to set up and we must be sure that it is likely to assist the process of investigating the complaint.
 - The Chair of Governors [the Designated Governor] may decide, therefore, that every reasonable action has been undertaken to resolve the complaint and that a complaints review panel would not help to move things forward.

Stage 3 - Consideration by a complaints review panel

- If your concern has already been through Stages 1 and 2 and you are not happy with the outcome, we may agree to set up a complaints review panel to consider it. This is a formal process, and your ultimate recourse at school level. The Chair of Governors [the Designated Governor] has discretion to agree to this form of meeting where he or she feels it would be helpful in resolving the complaint.
 - The purpose of this arrangement is to give your complaint a hearing in front of a panel of governors who have no prior knowledge of the details of the complaint and who can, therefore, consider it without prejudice.
- The aim of a complaints review panel is to resolve the complaint and to achieve reconciliation between the school and the parent. We recognise, however, that it may sometimes only be possible to establish facts and make recommendations which will reassure you that we have taken your complaint seriously.

The complaints review panel operates according to the following formal procedures:

1. The clerk to the governing body will aim to arrange for the panel meeting to take place within 20 working days.





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- 2. The clerk will ask you whether you wish to provide any further written documentation in support of your complaint. You can include witness statements, or ask witnesses to give evidence in person, if you wish.
- 3. The headteacher will be asked to prepare a written report for the panel. Other members of staff directly involved in matters raised in your complaint will also be asked to prepare reports or statements.
- 4. The clerk will inform you, the headteacher, any relevant witnesses and members of the panel by letter, at least **five working days** in advance, of the date, time and place of the meeting. We hope that you will feel comfortable with the meeting taking place in the school; but we will do what we can to make alternative arrangements if you prefer.
- 5. With the letter, the clerk will send you all relevant correspondence, reports and documentation about the complaint and ask whether you wish to submit further written evidence to the panel.
- 6. The letter will explain what will happen at the panel meeting and the clerk will also inform you that you are entitled to be accompanied to the meeting. The choice of person to accompany you is your own, but it is usually best to involve someone in whom you have confidence but who is not directly connected with the school. They are there to give you support but also to witness the proceedings and to speak on your behalf if you wish.
- 7. With the agreement of the chair of the panel, the headteacher may invite members of staff directly involved in matters raised by you to attend the meeting,
- 8. The chair of the panel will bear in mind that the formal nature of the meeting can be intimidating for you and will do his or her best to put you at your ease.
- 9. As a general rule, no evidence or witnesses previously undisclosed should be introduced into the meeting by any of the participants. If, in exceptional circcumstances either party requests to do so, the meeting may be adjourned so that the other party has a fair opportunity to consider and respond to the new evidence.
- 10. The chair of the panel will ensure that the meeting is properly minuted. Please understand that any decision to share the minutes with you, the complainant, is a matter for the panel's discretion and you do not have an automatic right to see or receive a copy. Since such minutes usually name individuals, they are understandably of a sensitive and, therefore, confidential nature.





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- 11. Normally, the written outcome of the panel meeting, which will be sent to you, should give you all the information you require. If, however, you feel that you would like to have a copy of the minutes it would be helpful if you could indicate this in advance. If the panel is happy for the minutes to be copied to you, the clerk can then be asked to maintain confidentiality in the minutes.
- 12. During the meeting, you can expect there to be opportunities for:
 - you to explain your complaint;
 - you to hear the school's response from the headteacher;
 - you to question the headteacher about the complaint;
 - you to be questioned by the headteacher about the complaint;
 - the panel members to be able to question you and the headteacher;
 - any party to have the right to call witnesses (subject to the chair's approval) and all parties to have the right to question all witnesses;
 - you and the headteacher to make a final statement.
- 13. In closing the meeting, the chair will explain that the panel will now consider its decision and that written notice of the decision will be sent to the headteacher and yourself within two weeks. All participants other than the panel and the clerk will then leave.
- 14. The panel will then consider the complaint and all the evidence presented in order to:
 - reach a unanimous, or at least a majority, decision on the complaint;
 - decide on the appropriate action to be taken to resolve the complaint;
 - recommend, where appropriate, to the governing body changes to the school's systems or procedures to ensure that similar problems do not happen again.
- 15. The clerk will send you and the headteacher a written statement outlining the decision of the panel within two weeks. We will keep a copy of all correspondence and notes on file in the school's records but separate from pupils' personal records.

If you are unhappy with the outcome of your complaint, or the way it has been handled ar school level, you can contact the Secretary of State at the following address:

The Secretary of State
Department for Education
Sanctuary Buildings
Great Smith Street
LONDON SW1P 3BT



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This policy was written in accordance to Essex County Council's Schols Complaints Toolkit (November 2011).
This policy was agreed by the governors on
Signed